

Del Rio Advisors, LLC Financial Advisor Experience

Par Value	# of Deals
1,857,538,023	112

Issuer/Purchaser	Deal/Service	Dollar Amount	Date
City of Solana Beach, California	Refunding Lease Agreement, Series 2011 (ABAG Lease Revenue Bonds, Series 2001-2)	1,388,300	11/22/11
West Sacramento Area Flood Control Agency, California	Assessment Revenue Bonds, Series 2011	13,360,000	06/09/11
Woodland Finance Authority, California	Water Revenue Commercial Paper Notes	38,000,000	05/12/11
Woodland Finance Authority, California	Water Revenue Bonds, Series 2011	18,815,000	03/03/11
Stockton Public Financing Authority, California	Variable Rate Demand Water Revenue Bonds, Series 2010A (Delta Water Supply Project)	55,000,000	10/21/10
South Shore Tri-Town Development Corporation, Mass	Infrastructure Development Revenue Bonds, Series 2010A (Southfield Project)	12,550,000	08/12/10
City of Williams, California	2010 Revenue Anticipation Notes (Wastewater Projects)	995,000	05/19/10
Woodland Finance Authority, California	Wastewater Revenue Bonds (Second Senior Lien), Series 2009	12,115,000	11/05/09
Brentwood Infrastructure Financing Authority, California	Civic Center Project Lease Revenue Bonds, Series 2009A	4,055,000	10/27/09
Brentwood Infrastructure Financing Authority, California	Civic Center Project Lease Revenue Bonds, Taxable Series 2009B (Build America Bonds)	43,945,000	10/27/09
City of Woodland, California	2009-2010 Tax and Revenue Anticipation Notes	6,105,000	09/22/09
Palm Desert Financing Authority, California	Energy Independence Program (EIP), Limited Obligation Improvement Bonds, Series 2009B (Taxable)	1,136,000	09/22/09
Stockton Public Financing Authority, California	Lease Revenue Bonds, 2009 Series A (Capital Improvement Projects)	35,080,000	09/09/09
Palm Desert Financing Authority, California	Energy Independence Program, Variable Rate Demand Lease Revenue Bonds, Series 2009 (Federally Taxable)	5,225,000	08/31/09
Stockton Public Financing Authority, California	Water Revenue Bonds, Series 2009A (Delta Water Supply Project)	18,575,000	08/27/09
Stockton Public Financing Authority, California	Water Revenue Bonds, Taxable Build America Bonds, Series 2009B (Delta Water Supply Project)	154,550,000	08/27/09
Palm Desert Financing Authority, California	Energy Independence Program (EIP), Limited Obligation Improvement Bonds, Series 2009A (Taxable)	2,015,000	05/31/09
City of Solana Beach, California	Lease with Option to Purchase #08-060 (Fire Truck Purchase Program)	703,999	11/30/08
Riverside County Palm Desert Financing Authority, California	Lease Revenue Bonds (County Facilities Projects), 2008 Series A	72,445,000	11/20/08
Woodland Finance Authority, California	Water Revenue Bonds, Series 2008	9,250,000	11/20/08
Brentwood Infrastructure Financing Authority, California	Water Revenue Bonds, Series 2008	53,200,000	11/13/08
Brentwood Infrastructure Financing Authority, California	Water and Sewer Revenue Bonds, 1996 Series A (Defeasance)	1,973,082	11/13/08
City of Solana Beach, California	Assessment District No. 2008-1, (Marsolan Underground Utility District)	480,000	07/24/08
City of Waterford, California	Sublease / Option Agreement (Parkland Acquisition)	597,034	03/28/08
Stockton Public Financing Authority, California	2008 Refunding Revenue Bonds	12,745,000	01/30/08
Escalon Public Facilities Corporation, California	Lease Agreement, Series 2008 (Civic Center Acquisition and Community Center Projects)	5,964,211	01/22/08
City of Palm Desert, California	CFD No. 91-1 (Indian Ridge Public Improvements), Special Tax Refunding Bonds, Series 2008	10,935,000	01/15/08
Redevelopment Agency of the City of Woodland, California	Woodland Redevelopment Project, Tax Allocation Bonds, Series 2007A	7,295,000	07/31/07
Redevelopment Agency of the City of Woodland, California	Woodland Redevelopment Project, Tax Allocation Bonds, Series 2007B (Federally Taxable)	1,680,000	07/31/07
Woodland Finance Authority, California	Lease Revenue Bonds (2007 Capital Projects)	10,350,000	07/25/07
City of Palm Desert, California	CFD No. 2005-1 (University Park), Special Tax Bonds, Series 2007	17,915,000	05/23/07
City of Palm Desert, California	Section 29 AD (No. 2004-02), Limited Obligation Improvement Bonds, Series 2007	29,430,000	04/12/07
Palm Desert Financing Authority, California	Tax Allocation (Housing Set-Aside) Refunding Revenue Bonds, Series 2007	86,155,000	02/07/07
Solana Beach Public Financing Authority, California	Subordinate Wastewater Revenue Bonds, Series 2006	9,825,000	01/18/07
Palm Desert Financing Authority, California	Tax Allocation Refunding Revenue Bonds (Project Area No. 1, As Amended), 2007 Series A	32,600,000	01/09/07
City of Solana Beach, California	Assessment District No. 2006-1 (South Solana Beach Sewer Project)	570,000	11/21/06
Grant Street Group	City of Stockton CFD No. 1 (Weston Ranch), GIC	2,863,000	11/01/06
City of Stockton, California	CFD No. 1 (Weston Ranch), Special Tax Refunding Bonds, Series 2006	28,630,000	08/24/06
City of Palm Desert, California	Undergrounding Assessment District No. 04-01 (Palm Desert Highlands), Series 2006	3,165,000	08/08/06
Palm Desert Financing Authority, California	Tax Allocation Refunding Revenue Bonds (PA No. 4), 2006 Series A	14,610,000	07/25/06
Palm Desert Financing Authority, California	Tax Allocation Revenue Capital Appreciation Bonds (PA No. 4), 2006 Series B	4,663,089	07/25/06
Palm Desert Financing Authority, California	Tax Allocation Revenue Bonds (PA No. 3), 2006 Series A	11,915,000	07/25/06
Palm Desert Financing Authority, California	Tax Allocation Revenue Capital Appreciation Bonds (PA No. 3), 2006 Series B	383,660	07/25/06
Palm Desert Financing Authority, California	Sub. Tax Allocation Revenue Capital Appreciation Bonds (PA No. 3), 2006 Series C	2,760,866	07/25/06
Palm Desert Financing Authority, California	Tax Allocation Ref. Revenue Bonds (PA No. 2), 2006 Series A	41,340,000	07/25/06
Palm Desert Financing Authority, California	Tax Allocation Revenue Capital Appreciation Bonds (PA No. 2), 2006 Series B	1,567,118	07/25/06
Palm Desert Financing Authority, California	Tax Allocation Revenue Bonds (PA No. 2), 2006 Series C	7,775,000	07/25/06
Palm Desert Financing Authority, California	Sub. Tax Allocation Revenue Capital Appreciation Bonds (PA No. 2), 2006 Series D	16,936,095	07/25/06
Palm Desert Financing Authority, California	Tax Allocation Revenue Bonds (PA No. 1, As Amended), 2006 Series A	37,780,000	07/06/06
Palm Desert Financing Authority, California	Tax Allocation Ref. Revenue Bonds (PA No. 1, As Amended), 2006 Series B (Taxable)	24,540,000	07/06/06
Solana Beach Public Financing Authority, California	Assessment Revenue Bonds, Series 2006 (Barbara-Granados AD and Pacific AD)	2,112,000	06/27/06
Solana Beach Redevelopment Agency, California	Solana Beach Redevelopment Project, Tax Allocation Bonds, Series 2006	3,555,000	06/08/06
City of Woodland, California	Limited Obligation Improvement Refunding Bonds, Beamer-Kentucky AD, Series 2006	1,990,000	06/07/06
Shasta Lake Public Financing Authority, California	Tax Allocation Revenue Bonds, Series 2006 (Shasta Dam Area Redev. Project)	6,225,000	05/23/06
City of Palm Desert, California	CFD No. 2005-1 (University Park), Special Tax Bonds, Series 2006A	50,000,000	05/09/06
City of Stockton, California	CFD No. 2006-1 (Riverbend), Special Tax Bonds Series 2006	4,090,000	04/27/06
Stockton Public Financing Authority, California	2005 Water Revenue Bonds, Series A (Water System Capital Improvement Projects)	24,230,000	11/17/05
Woodland Finance Authority, California	Wastewater Revenue Bonds (Second Senior Lien), Series 2005	17,635,000	11/17/05
City of Shasta Lake, California	Shasta Dam Area Redevelopment Project, TABS, 1993 Series A (Defeasance)	661,506	09/20/05
Stockton Public Financing Authority, California	Refunding Revenue Bonds, Series A Senior Lien and Series B Subordinate Lien	18,035,000	08/31/05
Woodland Finance Authority, California	Lease Revenue Bonds (2005 Capital Projects)	20,390,000	08/25/05
City of Stockton, California	CFD No. 90-2 (Brookside Estates), 2005 Special Tax Refunding Bonds	28,350,000	07/29/05
City of Stockton, California	South Stockton CFD No 90-1, 2005 Special Tax Refunding Bonds	6,690,000	06/30/05
Trinity County Public Facilities Corporation, California	Certificates of Participation, Series 2005	4,560,000	05/20/05
Shasta Lake Public Financing Authority, California	2005 Refunding Installment Sale Revenue Bonds	11,480,000	04/07/05
City of Shasta Lake, California	1996-2 Certificates of Participation (Defeasance)	12,418,227	02/03/05
Scotts Valley Water District Pub. Fac. Corporation, CA	2004 Refunding Certificates of Participation (Bank Qualified)	4,010,000	12/30/04
City of Woodland, California	CFD No. 2004-1 (Spring Lake), Special Tax Bonds, Series 2004	33,050,000	10/05/04
Palm Desert Financing Authority, California	Tax Allocation Ref. Rev. Bonds (Project Area No. 1, As Amended), 2004 Series A	24,945,000	06/24/04
Woodland Finance Authority, California	Subordinate Special Tax Revenue Bonds (Gibson Ranch CFD Projects), Series 2004	2,730,000	06/10/04
City of Woodland, California	CFD No. 1 (Gibson Ranch), Special Tax Refunding Bonds, Series 2004	5,585,000	03/30/04
City of Palm Desert, California	AD No. 98-1 (The Canyons at Bighorn), (Reassessment and Refunding of 2004)	2,955,000	02/19/04
City of Stockton, California	Waterford Estates East Phase II Assessment District No. 2003-03	3,360,000	02/04/04
Riverside County Palm Desert Financing Authority, California	Lease Revenue Bonds (County Facilities Projects), 2003 Series A	22,310,000	12/18/03
City of Stockton, California	Variable Rate Demand Revenue COPs, Series 2003 (United Christian Schools)	5,000,000	12/16/03
Waterford Public Financing Authority	Refunding Revenue Bonds, Series 2003	5,795,000	09/10/03
City of Woodland, California	CFD No. 1 (Gibson Ranch), Special Tax Bonds, Series 2003	2,520,000	08/28/03
Palm Desert Financing Authority, California	Tax Allocation Revenue Bonds (Project Area No. 1, As Amended), Series 2003	19,000,000	08/05/03
Palm Desert Financing Authority, California	Tax Allocation Revenue Bonds (Project Area No. 3), Series 2003	4,745,000	08/05/03
Palm Desert Financing Authority, California	Assessment Revenue Bonds, Series 2003	4,423,000	06/25/03
Stockton Public Financing Authority, California	Refunding Certificates of Participation, 2003 Series A (Wastewater System Project)	14,135,000	06/03/03
Scotts Valley Water District, California	2003 Water Revenue Refunding Bonds	2,240,000	05/28/03
Palm Desert Financing Authority, California	Tax Allocation Revenue Bonds (Project Area No. 2), Series 2003	15,745,000	03/26/03
Stockton Public Financing Authority, California	Refunding Revenue Bonds, West Eighth Street Reassessment District	10,445,000	02/27/03
Shasta Lake Public Financing Authority, California	2002 Installment Sale Revenue Bonds (Electric Enterprise Project)	10,615,000	12/26/02
Woodland Finance Authority, California	2002 Lease Revenue Bonds (Refunding and 2002 Capital Projects)	33,215,000	12/17/02
Scotts Valley Water District Public Facilities Corporation	2002-1 Certificates of Participation	5,475,000	10/02/02
Palm Desert Financing Authority, California	Tax Allocation (Housing Set-Aside) Revenue Bonds, Series 2002	12,100,000	09/05/02
City of Stockton, California	CFD No. 90-2 (Brookside Estates), Ref. Special Tax Bonds, Series 2002-006	6,300,000	07/30/02
Palm Desert Financing Authority, California	Tax Allocation Refunding Revenue Bonds (Project Area No. 2), 2002 Series A	17,310,000	07/17/02
San Joaquin Area Flood Control Agency, California	Flood Protection Restoration AD (Reassessment and Refunding of 2002)	22,975,000	07/11/02
City of Stockton, California	Spanos Park West CFD No. 2001-1, Special Tax Bonds, Series 2002	21,460,000	05/08/02
Stockton East Water District, California	Refunding Revenue Certificates of Participation, (1990 Project) Series 2002B	17,658,089	04/25/02
Palm Desert Financing Authority, California	Tax Allocation Refunding Revenue Bonds, 2002 Series A	22,070,000	03/13/02
Stockton East Water District, California	Refunding Revenue Certificates of Participation, Series 2002A (1975 Project)	3,505,000	02/28/02
Stockton East Water District, California	Refunding Revenue Certificates of Participation, Series 2002A (1990 Project)	21,635,000	02/28/02
Palm Desert Financing Authority, California	Tax Allocation Revenue Bonds (Project Area No. 4), Series 2001	15,695,000	11/28/01
City of Susanville, California	2001-1 Certificates of Participation, Series C (Public Facilities Project No. 2)	5,185,000	10/31/01
City of Susanville, California	2001-1 Certificates of Participation, Series B (Public Facilities Project No. 2)	8,280,000	05/30/01
City of Susanville, California	2001-1 Certificates of Participation, Series A (Public Facilities Project No. 2)	8,785,000	04/26/01

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Fowler Public Financing Authority, California	2000 Revenue Bonds	6,175,000	04/06/00
Barstow Heights Community Services District, California	Refunding Assessment District Bonds, 1999	1,160,000	01/28/99
City of Palm Desert, California	Assessment District No. 98-1 (The Canyons at Bighorn)	34,760,000	12/16/98
Community Development Agency of the City of King, CA	Tax Allocation Subordinate Revenue Bonds, Series 1998	4,730,000	11/19/98
Mammoth Community Water District, California	1998 Limited Obligation Refunding Improvement Bonds	5,440,000	08/06/98
Palm Desert Financing Authority, California	Tax Allocation Revenue Bonds, Series 1998 (Project Area No. 4)	11,020,000	03/10/98
Palm Desert Financing Authority, California	Tax Allocation Housing Set-Aside Revenue Bonds, Series 1998	48,760,000	01/29/98
Palm Desert Financing Authority, California	Defeasance of Tax Allocation Revenue Bonds, Series B	8,333,747	01/27/98
Palm Desert Financing Authority, California	1997 Revenue Bonds	30,915,000	12/11/97
Palm Desert Financing Authority, California	Tax Allocation Refunding Revenue Bonds, Series 1997	71,955,000	07/24/97
Marina Redevelopment Agency, California	1996 Refunding Tax Allocation Bonds, Series A	1,610,000	11/06/96
Palm Desert Financing Authority, California	Tax Allocation Revenue Bonds, Series A and B	19,050,000	10/03/96